

Item No:5 ACADEMIC MATTERS :

5:1 Statutes, Ordinances, Regulations and Rules

- (i) Regulation for external Research Project Report of the Committee.

The Executive Council in its 90th meeting had constituted a Committee to look into the matter regarding the Regulation for external Research Project. The Committee met on 15.10.96 and submitted its Minutes/Recommendation for placing before the Executive Council.

The Minutes/Recommendations is placed at Annexure-'A' for consideration of the Council.

ANNEXURE- 'A'

MINUTES OF SUB-COMMITTEE OF THE EXECUTIVE COUNCIL FOR STUDYING PROBLEMS ASSOCIATED WITH REGULATIONS ON EXTERNAL RESEARCH PROJECT HELD ON 15.10.96 AT 11.00 AM AT THE OFFICE OF THE FINANCE OFFICER NEHU (Vide EC Reso.No.90:96:5:1:(iii) of 18.7.1996) The following Members attended the meeting:

1. Prof.S.K.Misra
2. Prof.A.C.Mohapatra
3. Prof.A.R.Raghuvarman
4. Shri L.Nampui - Convener
5. Prof(Mrs)K.S.Lyngdoh Chairperson

Prof.S N.Bhat,Dean,Physical Sciences regretted due to preoccupations,Prof.J.P.Singh was out of Station.

After going theard bare into all aspects of the problem including the two regulations,the representations and the guidelines of UGC & DST,the Sub-Committee recommends the following to the EC for its consideration:

1. The 1989 Executive Council resolution in framing a fresh regulation was found defective on two grounds,i.e.(i) it overrides govt. norms on financial and administrative control system and also the administrative and financial rules and practices of the University,(ii) it suffers from technical/legal problem regarding its creation in neither taking cognisance of an existing regulation to this effect nor citing its source of authority.:

The Sub-Committee recommends its abrogation.

2. The Sub-Committee found the old regulation (OC-10,RC-1) a well conveyed set of rules complete from technical/legal aspects but needs some amendment for smooth facilitation of ERPs as well as insertion of new sections/provisions on a number of points. The draft amended regulation is enclosed in Appendix-I.

3. The Sub-Committee took cognisance of problems associated with management and operation of ERPs from the date of implementation of the new regulation especially in respect of physical assets and doubts about their where abouts during the operation of the new regulation.

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It recommends the EC to formulate a suitable mechanism of preparing inventory of such assets between 1989 till date and take appropriate administrative measures in this regard.

4. Most of the ERPs sanctioned to various faculty members from time to time contain a commitment upto 10% of the total sanction as "Overhead Charges". This is an amount compensatory in nature to the University for space, infrastructure & administrative costs to the University in operating the ERPs. University may insist an OC in each and every project forward by it. This helps the University to generate resources.

The Sub-Committee recommends that a separate fund be created with a nomenclature of "Technology Development Fund" or any other, operation of which will be guided by a separate regulation. However, all OC funds of projects be deducted after fund transmission to the University at source and deposited in the designated fund so created for the purpose.

The Meeting ended at 1.30 pm with a vote of thanks to the Chair

Sd/-K.S.Lyngdoh,
Shillong dated 15.10.96.

Sd/-Finance Officer
15/10/96

ANNEXURE-'I'

NEHU Ordinance OC-RC-1

REGULATIONS ON EXTERNAL RESEARCH
PROJECTS(Statute 15(c) of the Schedule to
the NEHU Act, 1973)Existing

1. These regulations may be called
Regulations on External Research
Projects.

2. All research projects which may
be funded by organisations other
than the North/Eastern Hill Univ.
shall be called "External Research
Projects".

3. A teacher desirous of seeking
financial from the external organi-
zation will prepare the project as
per the requirements of the
organisation concerned and also
prepare a memorandum giving briefly
the objectives of the project and
also bringing out inter-alia the way
it is likely to support the general
thrust of research in the University.

4. The project will be forwarded by
the Head of the and placed before
the School Board for its approval.
On being satisfied with the recommen-
dations of the School Board, the Vice-
Chancellor may forward it, with such
remarks as he may consider necessary
to the concerned funding organisation.

Proposed amendment

1(a) These regulations may be called.
Regulations on External Research
Projects.

(b) Definitions: A 'research project'
is defined hereunder as a 'scheme' or
'project' funded by a Govt. semi-govt.,
autonomous bodies, public sector
undertakings, public/private limited
company(ies), private trusts/foundati-
ons, registered bodies and foreign
entities (except individuals) with due
permission of the Govt. of India, for
carrying out the following:

i) academic investigations, ii) develop-
ment of technology, iii) monitoring &
evaluation work, iv) training &
development activities, v) other
extension activities including action
research and (vi) carrying out surveys
and documentation.

2. No amendment

3. A teacher desirous of seeking finan-
cial support (referred to as Chief/
Principal Investigator hereafter) from
the external organization will prepare
the project proposal as per the require-
ments of the funding/sponsoring orga-
nisation concerned and also prepare a
memorandum giving briefly the objecti-
ves of the project and also bringing
out inter alia the way it is likely to
support the general thrust of research
in the University.

4. The project will be forwarded by the
Department after placing it before the
'department' for formal vetting and then
placed before the School Board for its
approval. On being satisfied with the
recommendations of the School Board, the
Vice-Chancellor may forward it, with
such remarks as he may consider
necessary, to the concerned funding
organisation/agency.

Whereas to expedite the process, the School Board may authorise the Dean to recommend the proposal to the Vice-Chancellor and report to the subsequent meeting of the Board.

Whereas, in case of undue delays in processing the proposals at the level of the department, the PI/CI may approach the Vice-Chancellor through the concerned Dean for appropriate administrative redressal. However, in such case all normal administrative methods must have been exhausted to the satisfaction of the concerned Dean of the School.

5. The Head of the Deptt., after recommending the project, shall cause a copy of the memorandum to be placed before the next meeting of the Board of Post-Graduate Studies for their information. Likewise, the Vice-Chancellor, after the project has been recommended, shall cause a copy of the memorandum to be placed before the next meeting of the Board of Research Studies for their information.

5. No amendment

6. Action on the project may be initiated by the Chief Investigator in advance if necessary with the prior approval of the Vice-Chancellor soon after the receipt of sanction without waiting for the release of funds.

6. No amendments

The Vice-Chancellor may allow an expenditure of a token amount for preliminary action if any. Appointment shall however be processed after the receipt of funds. Copies of the sanction order will be maintained by the Registrar, Finance Officer and the concerned Department.

7. The funds received will be deposited in the 'Research Project Fund' of the University and a separate ledger maintained in the Finance Branch in respect of each Project.

7. No amendment

8. Requisitions for sanctioned staff will be sent to the Registrar by the Chief Investigator through the Head of the Department. All request received in every block of two months beginning with January shall be consolidated by the Registrar and sent for advertisement in the first week of the following month.

8. No amendment

9. The Head of the Deptt. will constitute a Selection Committee on the recommendations of the Chief Investigator comprising not less than three members with Chief Investigator as the Chairman and at least one member from outside the Department. In case of difference of opinion with regard to any matter connected with the procedure of selection the matter will be referred to the Dean of the School whose decision will be final. Provided that in case the consolidated emoluments in respect of a post exceeds Rs. 1500/- per month, the Selection Committee will be kept informed about the decisions by the Head of the Deptt. Research staff thus selected will be entitled, in addition to the salary in terms of the project sanctioned, to the privileges of the University at par with those enjoyed by the other research staff. Hostel accommodation may also be provided to them if available. Co-Investigators of the project under the overall guidance of the Chief Investigator.

10. All equipment/instruments purchased out of the project funds will become the property of the University once the project is completed unless a specific provision in this respect has been made in the sanctioning letter.

Provided that the University may pool the instruments even during the currency of the project if circumstances so warrant for wider use of the Department/School. The University may, however, decide that the instrument may continue to be under the administrative control of the Chief Investigator on behalf of the Deptt. In case the administration has been taken over by the Department, the Chief Investigator will be given a priority in its use.

9. The Head of the Department shall constitute a Selection Committee within a period of two weeks after receiving such a request from the Chief Investigator/PI, comprising not less than three members with Chief Investigator as the Chairman and at least one member from outside the Deptt. In case of difference of opinion with regard to any matter connected with the procedure of selection the matter will be referred to the Dean of the School whose decision shall be final. Provided that in case the consolidated emoluments in respect of a post exceeds Rs. 3000/- per month, the Selection Committee will be chaired by the Dean of the School. The Vice-Chancellor will be kept informed about the decisions by the Head of the Deptt. Research staff thus selected will be entitled, in addition to the salary in terms of the project sanctioned, to the privileges of the University at par with those enjoyed by the other research staff. Hostel accommodation may also be provided to them if available. Co-Investigators of the project under the overall guidance of the Chief Investigator.

10(a) All purchases of equipments, books, chemicals and other consumables and non-consumables concerning the project will be procured by the Chief Investigator/PI out of the project grant through recommendations of a duly constituted "Purchase Committee" with a minimum of 5 members, with the Head of the Department as the Chairperson and the CI/PI as a member.

(b) All purchases connected with the project shall be entered in a separate ledger maintained by the Deptt. for projects and the assets issued thereafter to the concerned CI/PI for the duration of the project. After the completion of the project, the unused consumables, equipments, books and such other assets including any vehicle provided by the Funding Agency shall be returned to the Department.

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Provided further, that if, the PI/CI leaves the University in between the term of operation of the project he/she shall be required to furnish a "NO DUE" certificate in respect of the project as well. The transfer of the project, if any, and its assets could however, be effected through an appropriate request of the Funding Agency to the University and through procedure(s) as laid down by the University for the purpose.

(c) All equipments/instruments purchased out of the project funds will become the property of the University once the project is completed unless a specific provision in this respect has been made in the sanction letter.

Provided that the University may poll the instruments even during the currency of the project if circumstances so warrant for wider use of the Department/School. The University may, however, decide that the instrument may continue to be under the administrative control of the Chief Investigator on behalf of the Department. In case the administration has been taken over by the Department, the Chief Investigator will be given a priority in its use.

(d) During the operation of the project, the CI/PI may take an advance against the project funds, not exceeding Rs. 20,000.00 or 50% of the sanction under contingent expenses(head) which ever is less for the day to day running of the project.

11. The Principal Investigator will send the half yearly report on the work of the research staff to the Vice-Chancellor through the Head of the Department. The monthly fellowship bill of the research staff will be sent by the Principal Investigator countersigned by the Head of the Department to the Finance Officer for payment.

11. The Principal Investigator will send the half-yearly report on the work of the research staff to the Vice-Chancellor through the Head of the Department with a copy to the Department and the Dean of the School. The monthly fellowship/salary bill of the research staff will be sent by the Principal Investigator countersigned by the Head of the Department to the Finance Officer for payment.

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12. All administrative matters in relation to the project shall be within the purview of the University and governed by the provisions of this Regulation. In case of any differences of opinion or matter not covered specifically by these regulations, the decision of the Vice-Chancellor shall be final. No reference in regards to any administrative matter whatsoever by the Chief-Investigator, Co-Investigator and any other staff connected with the project shall be made with the funding agency not in consonance with provisions of these regulation, the provision of these regulations shall prevail, provided that the Vice-Chancellor may agree to make an exception in a specific case, before a project is sanctioned on a specific request by the funding agency.

12. All administrative and financial matters in relation to the project shall be within the purview of the University and governed by the Provisions of this Regulation. In case of any differences of opinion or matter not covered specifically by these regulations, the decision of the Vice-Chancellor shall be final. No reference in regards to any administrative matter whatsoever by the Chief-Investigator, Co-Investigator or any other staff connected with the project shall be made with the funding agency not in consonance with provisions of these regulation, the provision of these regulations shall prevail, provided that the Vice-Chancellor may agree to make an exception in a specific case, before a project is sanctioned on a specific request by the Funding Agency.

Provided that the University may call the instrument even during the currency of the project if circumstances so warrant for wider use of the Department/School. The University may however decide that the instrument may continue to be under the administrative control of the Chief Investigator on behalf of the Department. In case the administration has been taken over by the Department, the Chief Investigator will be given a priority in its use.

(5) During the operation of the project the C/PI may take an advance against the project funds, not exceeding Rs. 20,000.00 or 5% of the sanction under contingent expenses (head) which ever is less for the day to day running of the project.

11. The Principal Investigator will send the half-yearly report on the work of the research staff to the Vice-Chancellor through the Head of the Department with a copy to the Department and the Dean of the School. The monthly salary bill of the research staff will be sent by the Principal Investigator counterstamped by the Head of the Department to the Finance Officer for payment.

11. The Principal Investigator will send the half yearly report on the work of the research staff to the Vice-Chancellor through the Head of the Department. The monthly salary bill of the research staff will be sent by the Principal Investigator counterstamped by the Head of the Department to the Finance Officer for payment.

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RESOLVED that Prof. Karna's case may be treated as on deputation to the Government of Bihar for the period he was absent from the University and further RESOLVED that the refund of the leave salary and allowances including interest may be waived as he had returned back to the University and his period of absence has been treated as on deputation. The Council, further RESOLVED that Prof. Karna may pay the leave salary and Pension ^{subsidy} due to NEHU contribution. The Council, also RESOLVED that the period of Sabbatical leave of Prof. (Mrs) H. Ila may also be treated as on deputation. The Council further RESOLVED that these two cases may not be quoted as a precedent.

(ii) Grant of Study Leave to Non-Teaching Staff.

No: EC: 91: 96: 4: (ii): This item was taken along with Item No. 4 (iii). The Council considered the grant of Study Leave to the Non-Teaching Staff and while reviewing its earlier decisions RESOLVED that the Study Leave in such cases will be governed by the CCS (Leave) Rules and in special cases the matter may be referred to the University Grants Commission.

(iv) Stepping up of pay of the Senior at par with the Junior-Report of the Committee.

No: EC: 91: 96: 4: (iv): The Council considered the recommendations of the Committee appointed by it with regard to officiating appointment and RESOLVED to accept the recommendations of the Committee. However, in case officiating appointment is made, the appointment should be made on the basis of overall cadre seniority.

Item No. 5 ACADEMIC MATTERS :

5: 1-Statutes, Ordinances, Regulations and Rules-

(i) Regulation for external Research Project.
Report of the Committee.

No: EC: 91: 96: 5: 1: (i): The Council considered the recommendations of the Committee appointed by it to look into the Regulations for external Research Project and RESOLVED to approve the Regulations proposed by the Committee and repealed the earlier recommendations. However, the same may be placed before the Academic Council for its views.

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