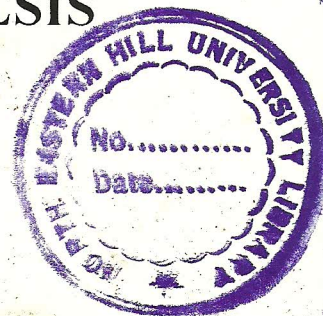


CYCLOADDITION REACTIONS OF VARIOUS 1,3-DIAZA-1,3-BUTADIENES AND IMINES IN HETEROCYCLIC SYNTHESIS



By

Arun Kumar Sharma
Department of Chemistry
School of Physical Sciences

A THESIS

Submitted in Fulfilment of the Requirements for
The Degree of
Doctor of Philosophy

TO



NEHU LIBRARY
Acc. No. 103220
Acc. by *M. S. R. M.*
Date *21/8/2000*
Class by
Sub Heading by
Enter by
Transcribed by

NORTH - EASTERN HILL UNIVERSITY
SHILLONG, MEGHALAYA, INDIA
SEPTEMBER 1996

DEDICATED TO
MY
PARENTS

COMPUTERISED

पूर्वीतर पर्वतीय विश्वविद्यालय

Phone : 226593
Grams : NEHU

बिजनी परिसर, शिल्लॉंग-७९३००३ (मेघालय)

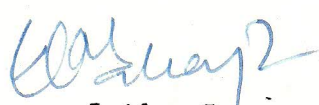
North-Eastern Hill University

BIJNI Complex, Shillong - 793003 (Meghalaya)

Dr. Mohinder P. Mahajan
Professor of Chemistry
Department of Chemistry

I certify that the Thesis entitled "*Cycloaddition Reactions of Various 1,3-Diaza-1,3-Butadienes and Imines in Heterocyclic Synthesis*" submitted by Mr. Arun Kumar Sharma for the degree of Doctor of Philosophy of the North-Eastern Hill University, Shillong, embodies the record of original investigation carried out by him under my supervision. He has been duly registered and the Thesis is worthy of being considered for the Ph.D. Degree. This work has not been submitted for any Degree of any other University.

Place : Shillong


Signature of the Supervisor

Date : 30 September 1996

ACKNOWLEDGEMENTS

It is with the feelings of pleasure and pride that the thesis provides an opportunity of expressing thanks to various people who have been of assistance to me during these years. My heartfelt thanks are due to Prof. M.P. Mahajan, for his guidance, constant encouragement and affection throughout my Ph.D. research.

It gives me immense pleasure to thank Dr. B. Myrboh, for his care and assistance in the absence of my Supervisor.

I am indebted to Prof. M.K. Mahanti, Head, Department of Chemistry for providing necessary facilities and infrastructures.

I owe a particular debt of gratitude to the Dean, School of Physical Sciences, NEHU, for his cooperation and help.

I wish to acknowledge with thanks the cooperation and help rendered by Dr. S.N. Mazumdar, Ms. S. Mukherjee, Dr. P. Barua, Ms. Paramita Dey and Mr. Senthil Kumar.

I have also been assisted in my tasks by Fellow Research Scholars through useful discussions. Friendly repartee other than such serious discussions with them really helped me a great deal through the long strenuous laboratory schedule. I am extremely thankful to faculty and staff, Department of Chemistry for their cooperation.

The typing of the thesis has been left in the capable hands of Mr. Gamaliyel Thomas. Likewise Mr. B.P. Rai looked after the photostating.

I am extremely grateful to RSIC, NEHU, for providing me with analytical and spectral facility.

The list of acknowledgements would be incomplete were I not to mention inspiration and encouragement rendered over the years by my parents and members of the family. The thesis owes a great deal to them. My special thanks to Ms Anindita for constant care and inspiration.

The financial support extended by CSIR, New Delhi, is gratefully acknowledged.


ARUN KUMAR SHARMA

CONTENTS

Page No.

Chapter I

Cycloaddition Reactions of Various
1,3-Diaza-1,3-Butadienes with
Conjugated and Chloroketenes 1

I.1: General Introduction 1

I.2: [4+2] Cycloaddition Reactions of 1,3-
Diaza-1,3-Butadienes with Vinyl/
Isopropenylketenes 18

I.3: [4+2] Cycloaddition Reactions of
Various 1,3-Diaza-1,3-Butadienes with
Butadienylketene 30

I.4: Synthesis and [4+2] Cycloaddition
Reactions of 4-(*N*-Allyl/*N*-Cinnamoyl-*N*-
Aryl)amino-1,3-Diaza-1,3-Butadienes
with Conjugated ketenes and
Chloroketene : Entry to Novel
Pyrimidinone/ Fused Pyrimidinone
Derivatives 45

Chapter II

Diastereoselective Synthesis of
 α -Butadienyl β -Lactams and some
Stereochemical Aspects of their
Diels-Alder Adducts 126

Chapter III

Unusual [3+2] Cycloaddition Reactions
of α -Nitrostyrenes with Various
1,3-Diaza-1,3-butadienes and Imines 184

laboratory
intramolecular
have been
the operation
quite well
Hetero
heterocyclic
on the
containing
have appeared
and about
the system

CHAPTER-I

Cycloaddition Reactions of various 1,3-Diaza-1,3-Butadienes with Conjugated and Chloroketenes

I.1: General Introduction

The Diels-Alder cycloaddition reaction, discovered in 1920s by Otto Diels and Kurt Alder in Germany,¹ is the most widely used and best known pericyclic reaction which turned out to be an important tool in synthetic organic chemistry.² It has been the subject of extensive preparative,³⁻¹⁰ theoretical,^{11,12} and mechanistic¹³ studies contributing towards the ease and predictability with which these reactions may be carried out. For the recent dramatic advances in organic synthesis which have been accompanied by ever increasing pressures to attain greater levels of stereochemical control, the Diels-Alder cycloaddition has proved to be extremely useful. For many years, the capture of a diene by a dienophile was recognised to be capable of generating as many as four contiguous stereogenic centers in a single

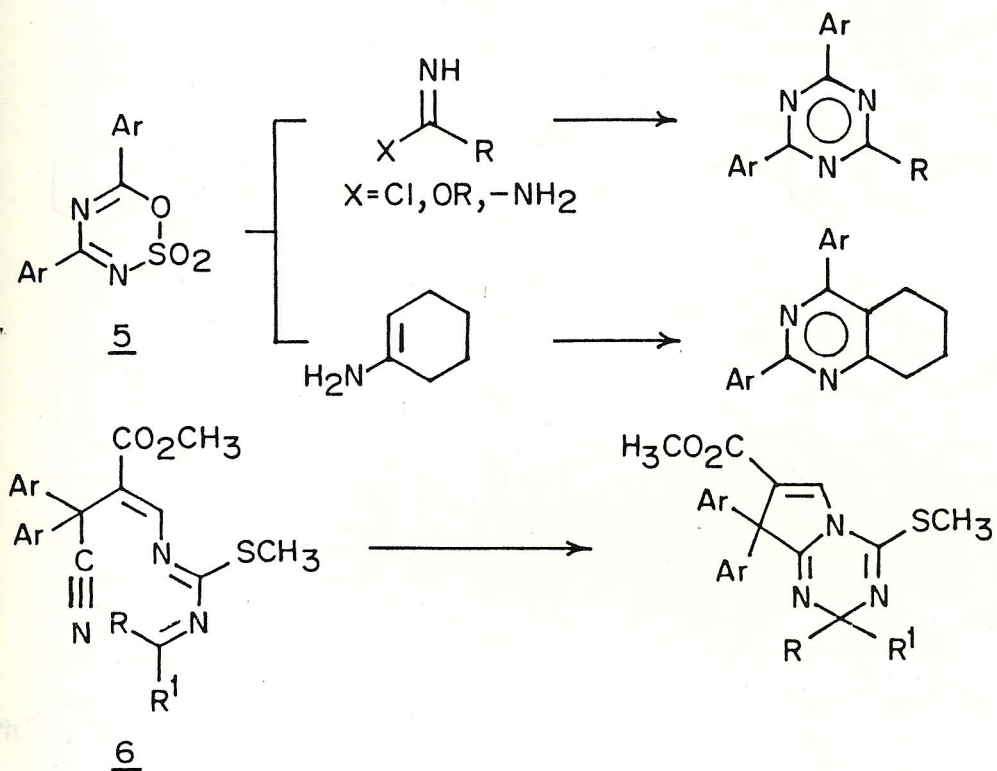
laboratory step.¹⁴⁻¹⁵ Subsequently asymmetric,¹⁶ and intramolecular variants¹⁷ of this process came to the fore and have been accorded widespread attention. To a significant extent the operating controlling factors in these modifications are quite well understood.

Heterodienes have proved to be of great potential in heterocyclic synthesis. Extensive studies have been carried out on the Diels-Alder cycloadditions involving heterodienes containing one or more heteroatoms and some comprehensive reviews have appeared on oxazines,⁵ nitrosoalkenes,¹⁸ heterodienophiles¹⁹ and about intra- and intermolecular cycloaddition reactions in the synthesis of heterocyclic natural products.²⁰ The observation that the azadienes^{3,4,6,9,11,18,21,22} show diminished reactivity towards electron deficient dienophiles, lends credence to the electrophilic nature of such systems. These observations together with the recognised shortcomings in attempting cycloaddition reactions with 2n and 4n components of similar electrophilic nature led to the development of several general approaches for useful azadiene Diels-Alder cycloadditions.

1.3 There are numerous reports concerning [4+2] cycloaddition reactions of 1,2- and 1,4-diazabutadienes. In contrast, such cycloaddition reactions with 1,3-diaza-1,3-butadienes, especially, with their acyclic counterparts, are very rare and have not been much exploited in heterocyclic synthesis.^{13-15a} This may be attributed to (i) the lack of suitable methods available for the preparation of stable 1,3-diaza-1,3-butadienes

and (ii) their reluctance to participate in Diels-Alder reaction because of their inverse electron demand tendency due to the unfavourable position of second nitrogen at position 3- of butadiene system. The successful attempts in this direction include the thermal isomerisation of an unsaturated *N*-Silylurea 1 to 2-trimethylsilyloxy-1,3-diaza-1,3-butadiene 2, and their subsequent Diels-Alder reaction. Their efficiency towards the cycloaddition reactions was soon considered doubtful since such reactions involved long reaction times, poor yields etc.²³ Matsuda *et al.* observed that a similar 1,3-diaza-1,3-butadiene 3, failed to react with dimethyl acetylene dicarboxylate and formed [2+2] cycloadducts 4 with diphenylketene (Scheme 1).²³ Weidinger *et al.* reported the [4+2] cycloaddition reactions of 4,6-diaryl-1,2,3,5-oxathiadiazine-2,2-dioxides 5 with nucleophilic heterodienophiles²⁴ and electron-rich olefins²⁵ (Scheme 2). More *et al.* reported recently, *in situ* generation and subsequent intramolecular Diels-Alder reactions of 2-methylthio-1,3-diaza-1,3-butadienes 6²⁶ (Scheme 2).

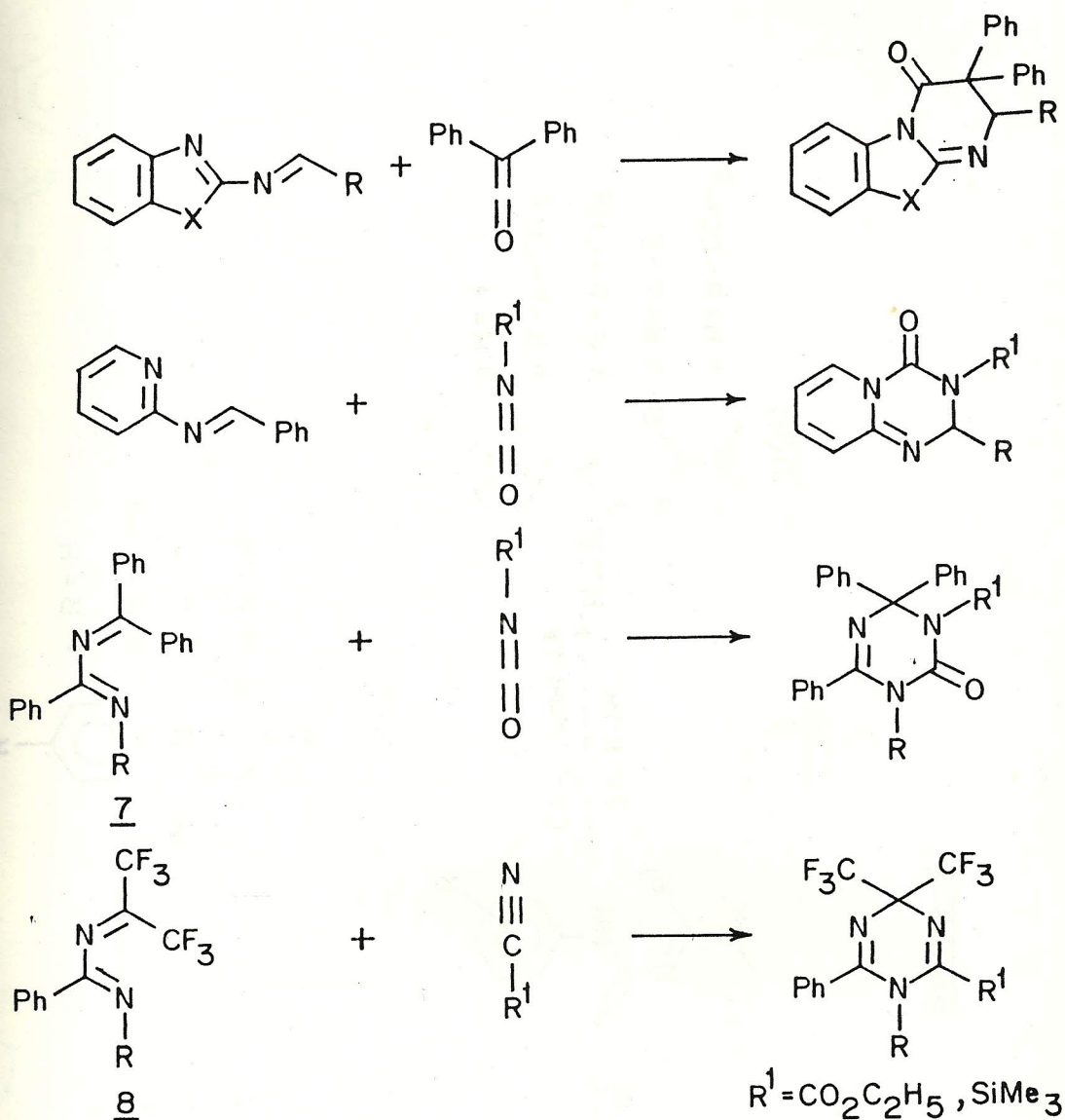
Few reports of the successful participation of heterocyclic 1,3-diaza-1,3-butadienes as 4n component in Diels-Alder cycloaddition reactions with dienophiles are also available in literature²⁷⁻³¹ (Scheme-3). Recently, there have been reports concerning the [4+2] cycloaddition reactions of simple, yet isolable and stable, 1,3-diaza-1,3-butadienes that concern the reactions of 7 and 8 with isocyanates^{32a} substituted nitriles^{32b,c} (Scheme-3) and ketenes.³³



Scheme - 2

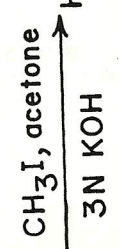
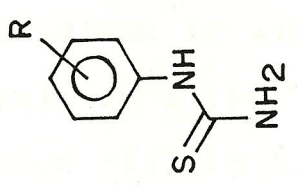
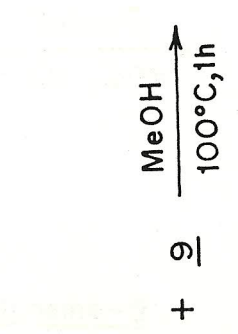
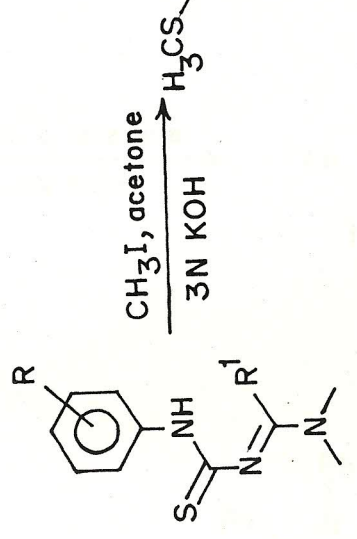
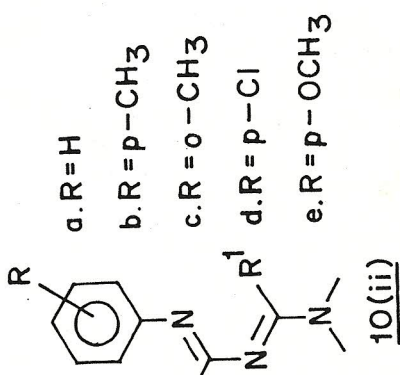
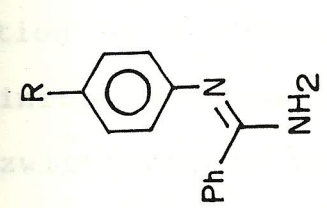
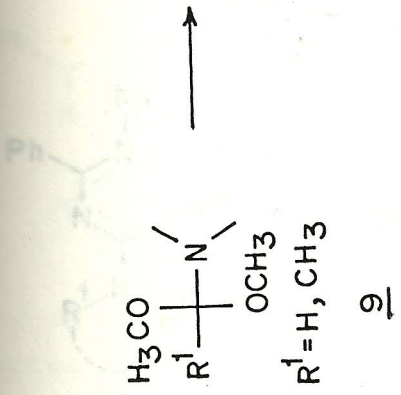
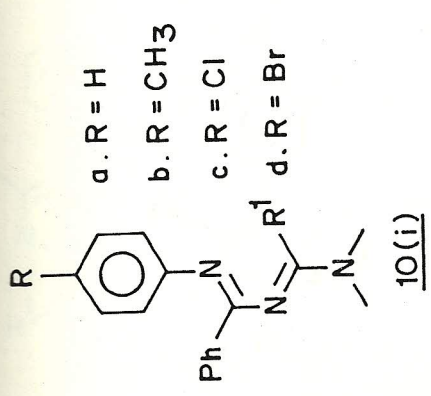
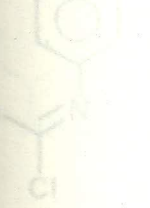
In view of the reported lack of synthetic approaches together with reports of failure of acyclic 1,3-diaza-1,3-butadienes as an effective $4n$ component in Diels-Alder cycloaddition reactions, we devised simple methods for the preparation of stable acyclic 1,3-diaza-1,3-butadienes.³⁴ Such 1,3-diazabutadienes with polarising functions at 4 and 2/4-positions (Schemes 4 and 5) were successfully utilised in [4+2] cycloaddition reactions with various ketenes e.g. phenyl-, diphenyl-, chloro-, bromo-, iodo-, chloromethyl-, dichloro- and various other ketenes.

The reaction of 1,3-diaza-1,3-butadienes 10 with monophenyl ketene 13 resulted in the formation of [4+2] cycloadduct 3-aryl-

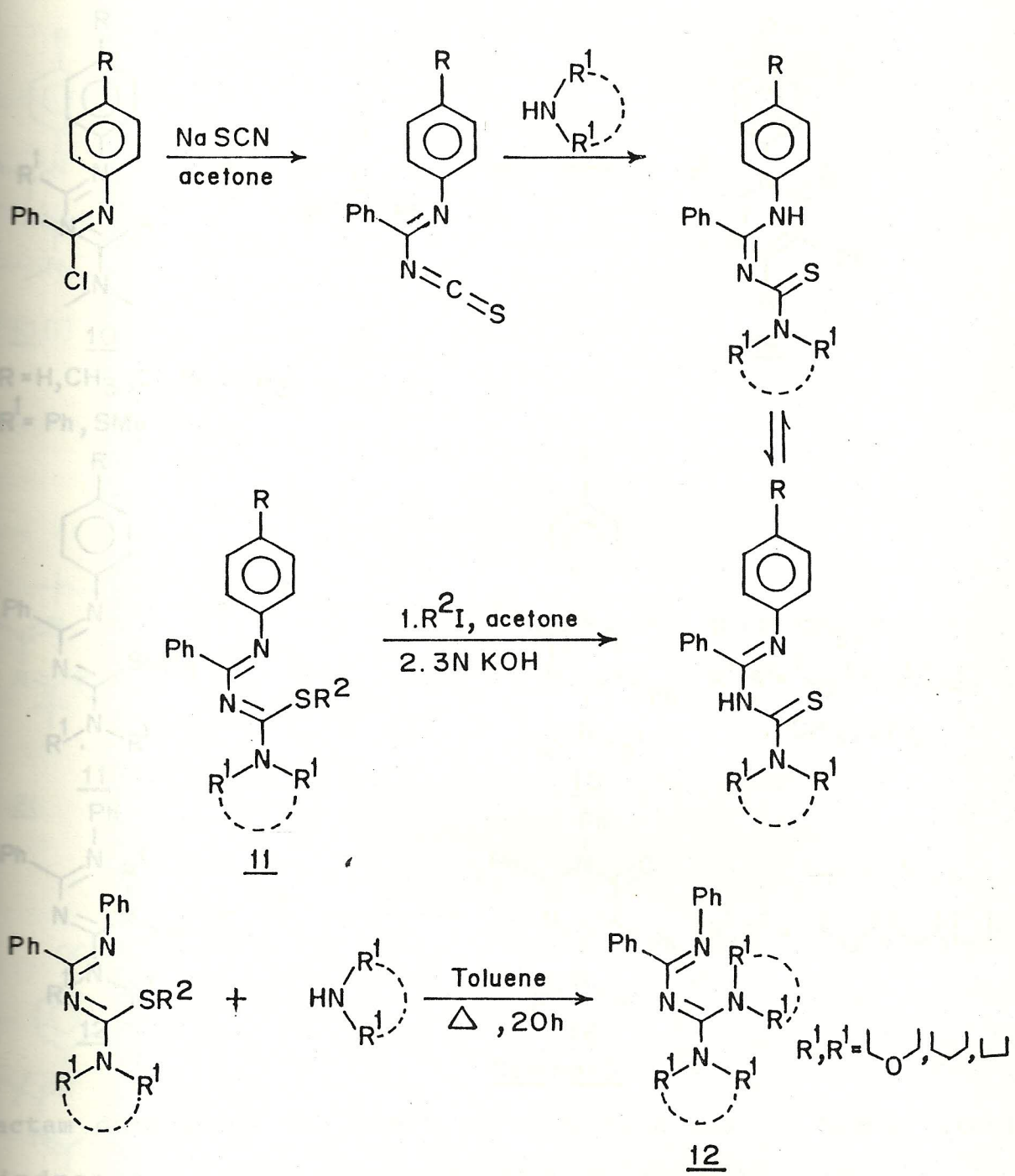


Scheme-3

5-phenyl-2-phenyl/methylthio-4(3*H*)-pyrimidinone 14 via the elimination of dimethylamine function. Similar reactions with 1,3-diaza-1,3-butadienes 11 and 12 resulted in the isolation of pyrimidinone 15 and 16 via the elimination of methylthio and secondary amine functions respectively³⁵ (Scheme 6). The

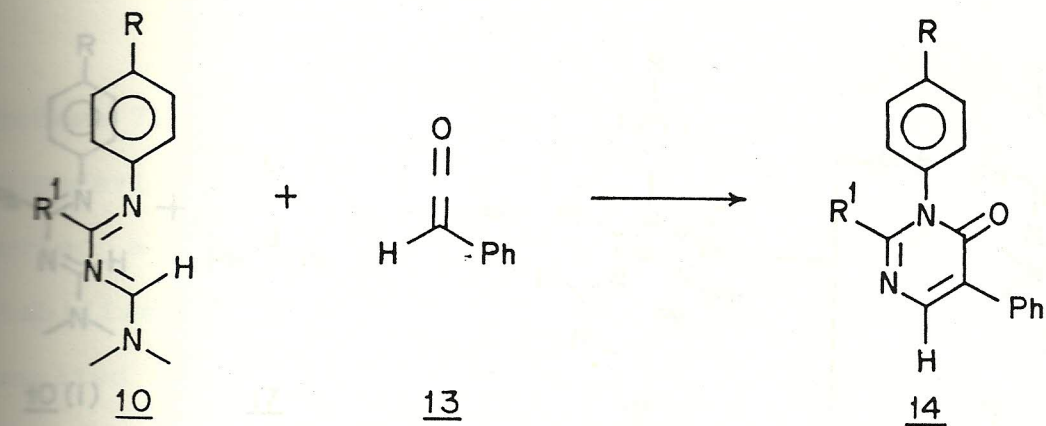


Scheme-4



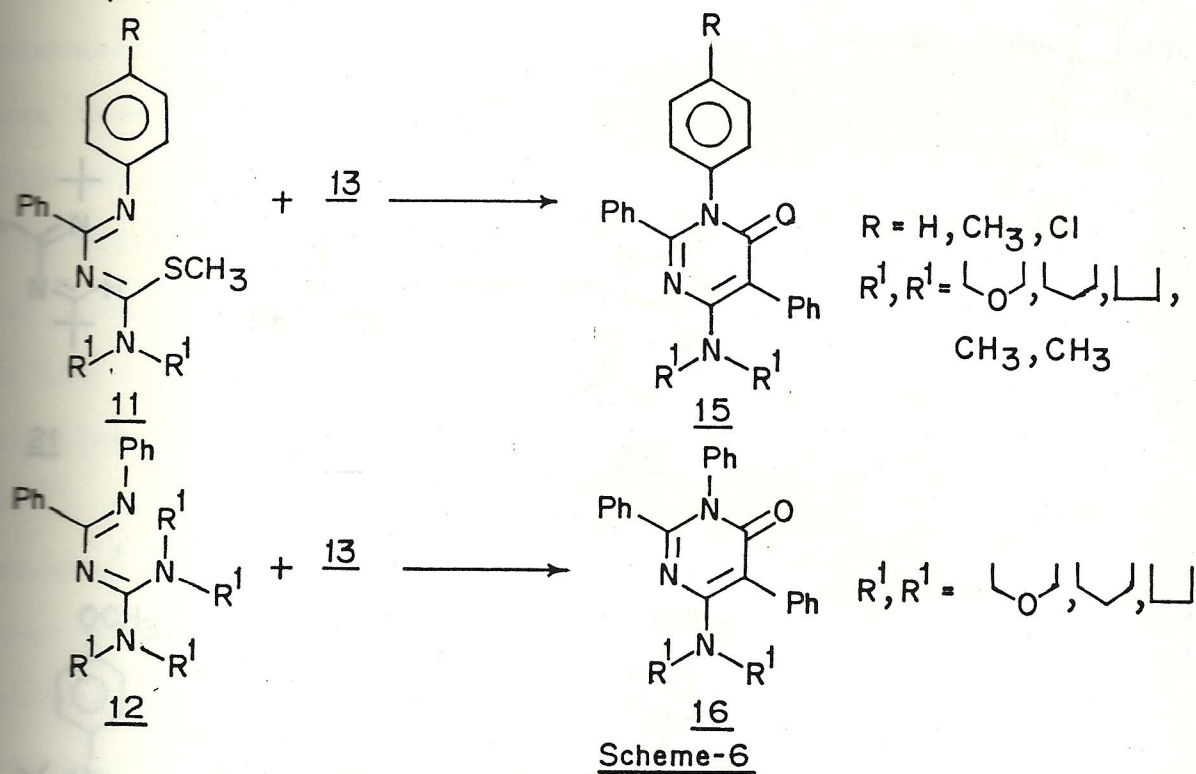
Scheme-5

reaction of 1,3-diaza-1,3-butadiene 10 with diphenyl ketene 17 was initially assumed to result in a [4+2] cycloadduct 19³⁶ via the zwitterionic intermediate 18. Luthardt and Wurthwein³⁷ reported that the intermediate 18 prefers the formation of β -



$R = \text{H}, \text{CH}_3, \text{Cl}, \text{Br}, \text{OCH}_3$

$R^1 = \text{Ph}, \text{SMe}$



$R = \text{H}, \text{CH}_3, \text{Cl}$

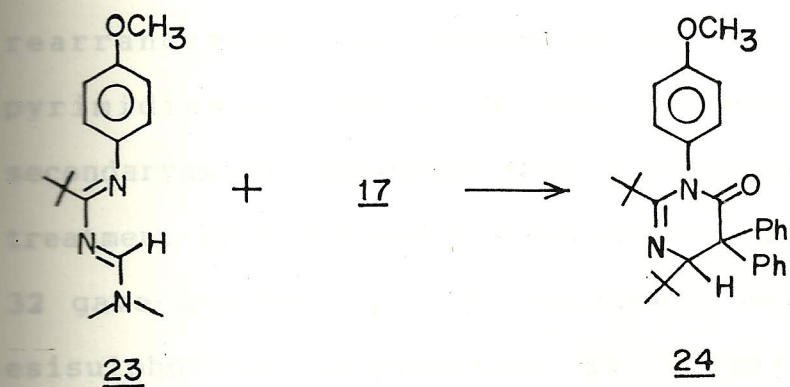
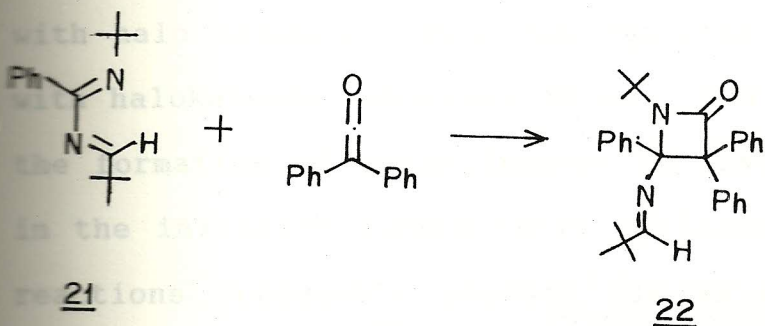
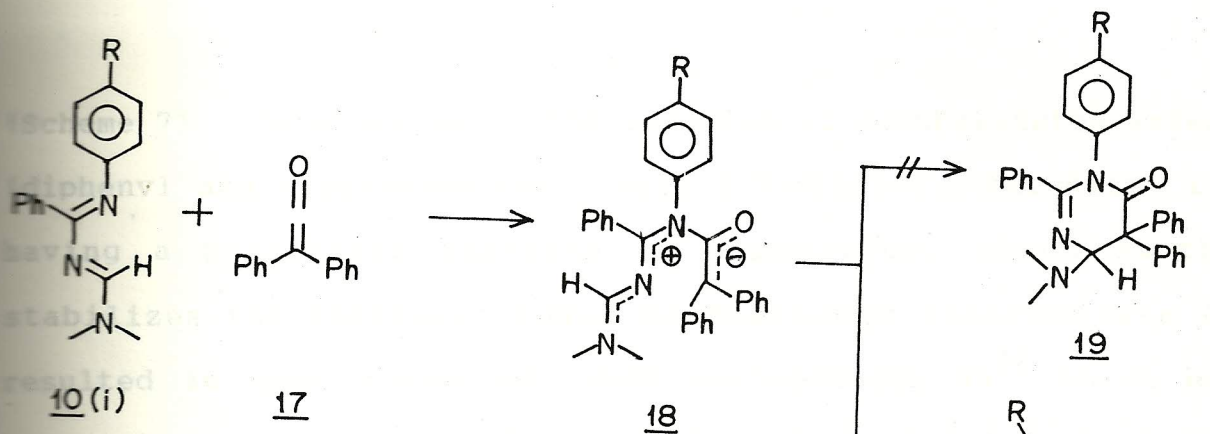
$R^1, R^1 = \text{EtO}, \text{PrO}, \text{BuO},$

CH_3, CH_3

$R^1, R^1 = \text{EtO}, \text{PrO}, \text{BuO}$

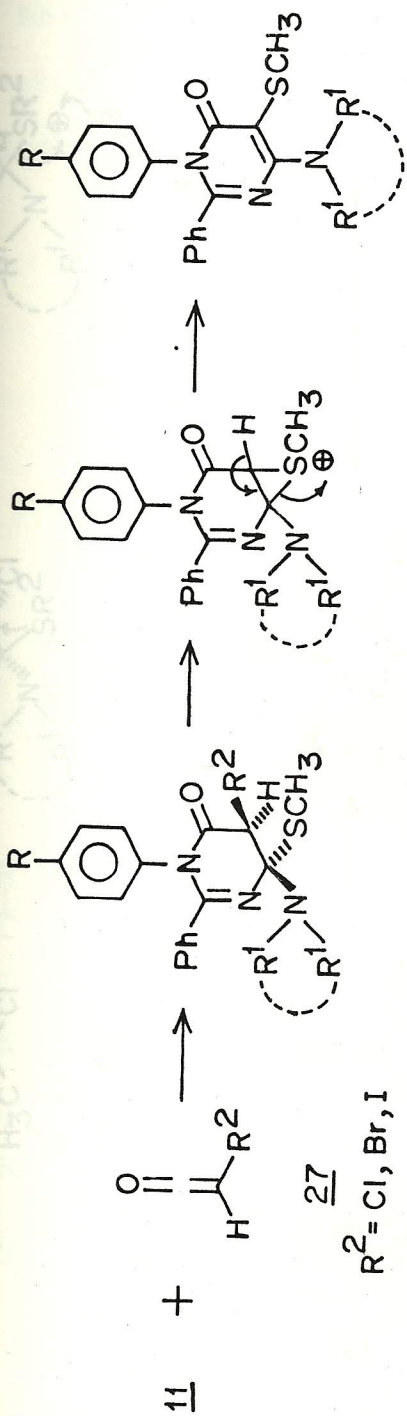
Scheme-6

lactam derivative via [2+2] cycloaddition due to higher steric hindrance to the approach of two phenyl groups to the dimethylamine function leading to [4+2] cycloadduct 19 as compared to the approach of two phenyl groups to C-2, leading to [2+2] cycloadduct 20 (Scheme-7). They extended the steric arguments to the formation of 22 and 24 in the reaction of diphenylketene 17 with 1,3-diazabutadiene 21 and 23 respectively



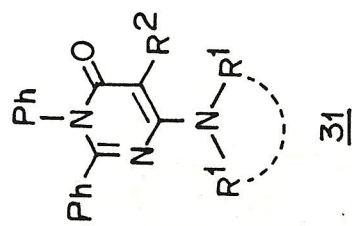
Scheme - 7

(Scheme 7). Interestingly, the reaction of disubstituted ketenes (diphenyl and dimethylketene), with 1,3-diaza-1,3-butadiene 10ii having a polarising function at 2-position, which further stabilizes the initially found zwitterionic intermediate 25, resulted in good yields of [4+2] cycloadducts 26³⁸ which have been characterised as 3-aryl-6-dimethylamino-5,5-diphenyl/dimethyl-2-methylthio-3,5,6-trihydropyrimidin-4-ones (Scheme 8). Interesting 1,2-alkylthio shift accompanying [4+2] cycloadditions have been reported in the reactions of 1,3-diaza-1,3-butadienes with halo ketenes. Thus the reaction of 1,3-diazabutadienes 11 with haloketenes (chloro-, bromo-, and iodoketenes) resulted in the formation of pyrimidinones 29 involving 1,2-alkylthio shift in the initially formed [4+2] cycloadduct intermediates. These reactions presumably proceed via an episulphonium intermediate 28.³⁹ In reactions of 1,3-diaza-1,3-butadienes 12, having two secondaryamine functions at 4-position, with haloketenes no such rearrangement was observed and these reactions yielded pyrimidinones 31 with the elimination of one of the secondaryamine functions from intermediate 30 (Scheme 9). The treatment of 1,3-diaza-1,3-butadienes 11 with chloromethyl ketene 32 gave another set of rearranged pyrimidinones 34 via the episulphonium intermediate 33. Further information about structure 34 was derived from the superimposable IR spectra and undepressed mixed melting point with a sample prepared from the reaction of 11 with methylketene 35. Similar reaction of 11 with dichloroketene 36 resulted in pyrimidinone 37 via the loss of



29

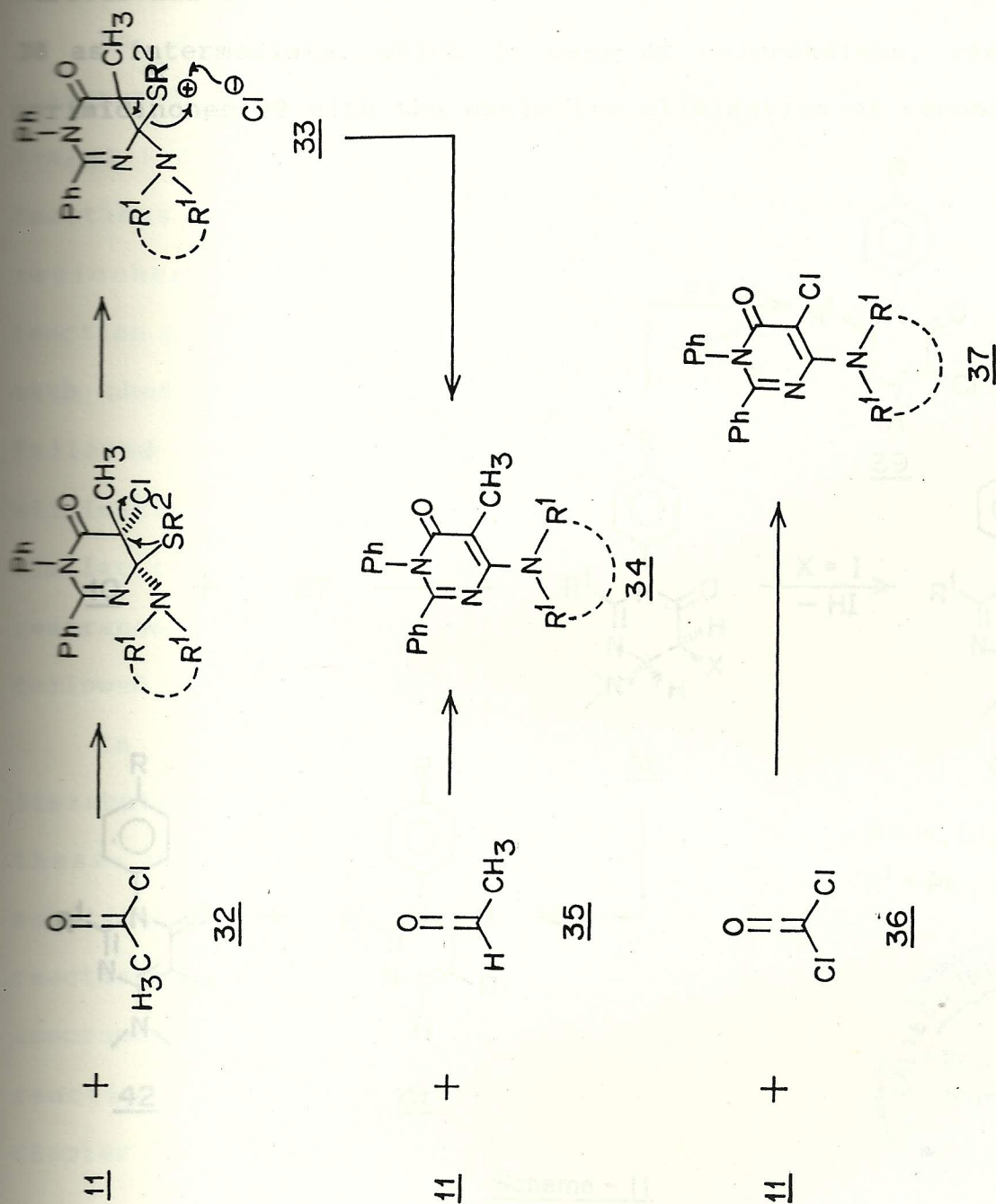
28



30

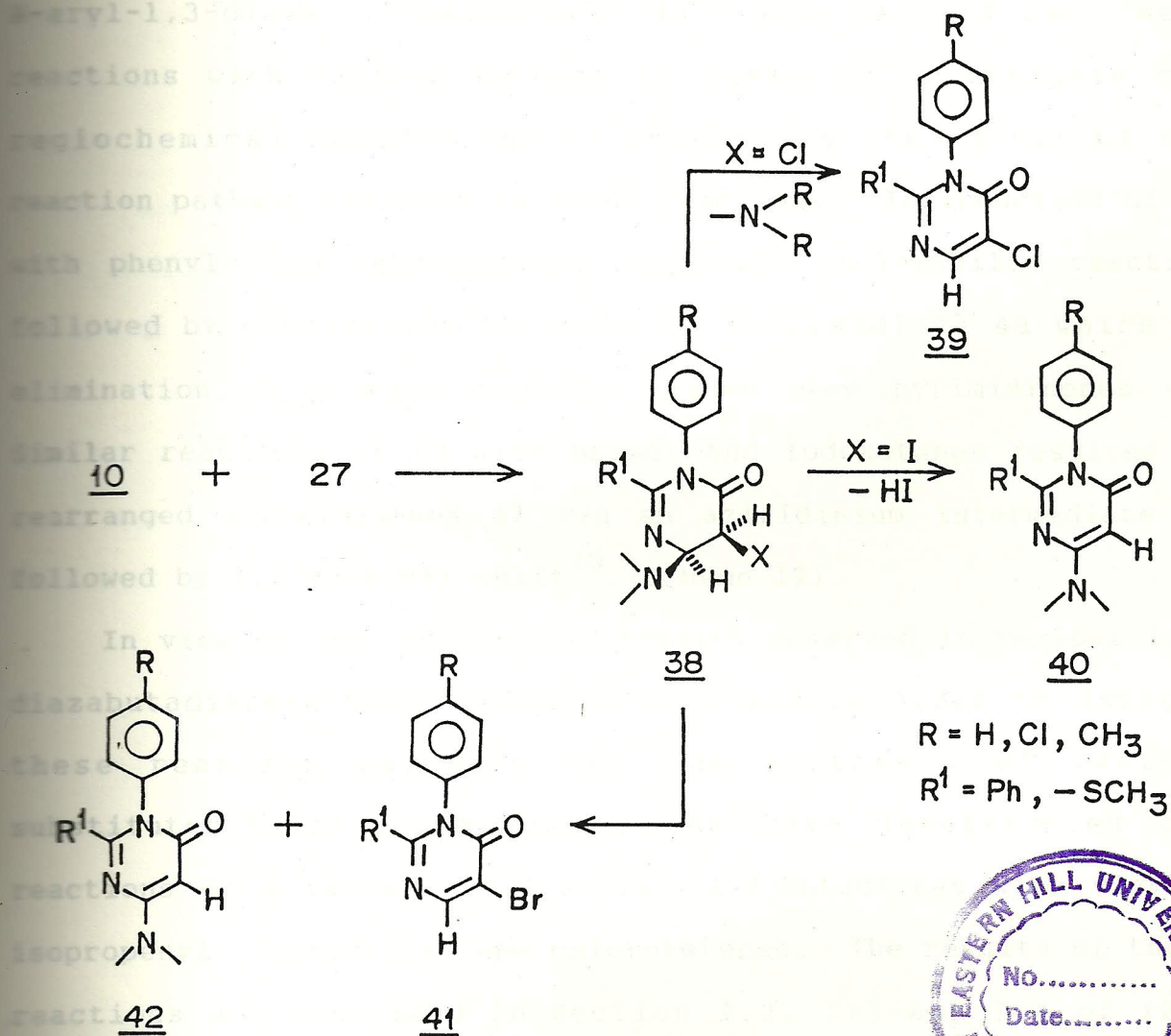
31

Scheme - 9



Scheme - 10

methyl sulphenyl chloride from an intermediate of the type 33 (Scheme 10). The reactions of 1,3-diaza-1,3-butadienes 10 with various haloketenes were assumed to proceed via [4+2] cycloadduct 38 as intermediate, which in case of chloroketene, resulted in pyrimidinones 39 with the exclusive elimination of secondaryamine



Scheme - 11

functions and pyrimidinones 40 in case of iodoketene with the exclusive elimination of HI. In case of bromoketene, the



intermediate 38 underwent loss, both of dimethylamine and hydrobromic acid resulting in pyrimidinones 41 (34%) and 42 (40%) respectively³⁹ (Scheme 11).

In continuation of our studies concerning 1,3-diazabutadiene-ketene cycloadditions, we have synthesised various N-aryl-1,3-diaza-1,3-butadienes 43⁴⁰ and carried out their reactions with various ketenes in order to investigate the regiochemical aspects and to understand the nature of the reaction pathway followed in these reactions. The reaction of 43 with phenyl- and chloroketenes underwent nucleophilic reaction followed by cyclisation to yield an intermediate 44 which on elimination of primary aromatic amines gave pyrimidinones 45. Similar reactions of 43 with bromo- and iodoketenes resulted in rearranged pyrimidinones 47 via an aziridinium intermediate 46 followed by 1,2-(N-aryl) shift⁴⁰ (Scheme 12).

In view of the interesting results observed in various 1,3-diazabutadiene-ketene cycloadditions and in order to exploit these reaction pathways for the synthesis of various substituted/fused pyrimidinones, we have investigated the reactions of a variety of 1,3-diaza-1,3-butadienes with vinyl-, isopropenyl-, butadienyl and chloroketenes. The results of these reactions are described in Section I.2, I.3 and I.4 of this Chapter.

