

(vi) Ordinance/Regulation on External Reserach Projects.

The Academic Council vide Resolution No: AC:55:97:5:3(i) adopted at the 55th meeting of the Council a Committee was appointed to draft the Ordinance and Regulation on External Reserach Projects.

The matter was placed before the 62nd meeting of the Academic Council and the Council Resolved to approve the same as per Annexure 'A' & 'B'.

The matter is placed before the Council for consideration.

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ORDINANCE ON RESEARCH PROJECTS

Under section 26(q) of the NEHU Act, 1973

Whereas the University / teachers / equivalent research personnel receive grants from time to time for carrying out University / Inter - departmental / inter institutional / individual level research and studies, this Ordinance provides for facilitating the acquisition and operationalisation of such projects.

1. Definitions: (a) A 'research project' is defined hereunder as a 'scheme' or 'project' funded by a Govt., semi-Govt., autonomous body(ies), public sector undertaking(s), public / private limited company(ies), private trust(s) / foundation(s), registered body(ies). Funding from foreign organisations and such entities as agreed to by the University may be obtained with due permission of the Govt. of India. Such project funding shall be for the following purposes:

- (i) academic investigations, (ii) development of technology,
- (iii) monitoring and evaluation work, (iv) training & development activities, (v) other extension activities including action research and
- (vi) carrying out surveys and documentation.

(b) A University level project is a project / scheme sanctioned to the University by an external agency.

(c) An individual / inter -departmental / inter institutional / level project is a project / scheme sanctioned to an individual teacher or a group of investigators of the University by an external agency.

(d) Where a project is sanctioned to more than one investigator drawn for different departments / centres appropriate provisions will be laid down in the Regulations.

(e) A Department means a department or centre of the University.

2. A teacher desirous of seeking financial support (referred to as Chief Investigator hereafter or such other designation given by the funding agency) from an external organisation will prepare the project proposal as per the requirements of the funding / sponsoring organisation concerned and also prepare a memorandum giving briefly the objectives of the project and also bringing about inter alia the way it is likely to support the general research area of the University.
3. The project will be forwarded by the Head of the Department to the Vice-Chancellor for his approval and the HOD shall report the matter to the Department.
4. (a) When securing a major project if the funding agency stipulates execution of a Memorandum of Understanding or such other instruments the University shall consider

signing of such a MOU after due scrutiny by a Committee constituted for the purpose by the Vice-Chancellor which may consist of the following:

1. Dean(s) of School(s) concerned.
2. HOD (s) concerned.
3. Chief Investigator.
4. Finance Officer
5. Registrar

(b) In cases when a project is offered to the University, the same shall be referred to a standing committee of the Academic Council to scrutinise the terms and conditions and recommend to the Academic Council the acceptance and modalities of operation of such projects.

5. Matters relating to application, operation including administration and financial management, and of assets of the project / scheme shall be laid down in the regulations.
6. In cases where the Chief Investigator is the Head of the Department / Centre, the function of the HOD shall be performed by the Dean for the purpose of this Ordinance and the regulation under this.

In cases not covered under the above provisions of this Ordinance, the matter shall be referred to the Vice-Chancellor whose decision shall be final.

REGULATION ON EXTERNAL RESEARCH PROJECTS
(Under Ordinance)

1. These regulations may be called Regulations on External Research Projects.
2. The procedures laid down hereunder shall apply to all External Research Projects.
3. Action on the project may be initiated by the Chief Investigator in advance, if necessary, with the prior approval of the Vice-Chancellor soon after the receipt of sanction without awaiting the release of funds.

The Vice-Chancellor may allow an expenditure of a token amount for preliminary action if any. Appointment of staff and research personnel shall however be processed after the receipt of funds. Copies of the sanction order will be maintained by the Registrar, the Finance Officer and the concerned Department. Information about the sanctioned External Research Projects shall be communicated to the concerned Board of Post Graduate Studies / Steering Committee and School Board by the Head of the Department.

4. The funds received will be deposited in the 'Research Project Fund' of the University and a separate ledger maintained in the Finance Department in respect of each Project.
5. Requisition for selection of sanctioned staff shall be sent to the Registrar by the Chief Investigator. All requests received in every block of two months beginning with January shall be consolidated by the Registrar and sent for advertisement in the first week of the following month. The cost of the advertisement shall be met from the project overhead charges.
6. The Head of the Department shall constitute a Selection Committee, on the recommendation of the Chief Investigator, comprising three members:

- (1) Chief Investigator (Chairperson)
- (2) Head of the Department
- (3) One expert on the recommendation of the Chief Investigator.

This Selection Committee shall be for (1) research positions up to research associate and equivalent, (2) staff up to Group B staff.

For positions higher than those mentioned above, the Vice-Chancellor shall constitute a Selection Committee of five members on the request of the Chief Investigator. The Committee shall consist of :

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| 1. Vice-Chancellor or his nominee | Chairman |
| 2. Chief Investigator | Convener |
| 3. Head of the Department | Member |
| 4. Two Subject Experts to be selected by the Vice-Chancellor from a Panel of at least five names submitted by the Chief Investigator. | Members |

If the terms and conditions of the Project in which the procedure for selection of Research / Technical and other staff is specified, the same shall be followed.

7. All available infrastructural facilities of the Department / University shall be extended for the smooth running of the project.

8.(a) All purchases of equipments, books, consumables and non- consumables concerning the project shall be procured by the Chief Investigator out of the project grant through recommendations of the Departmental Purchase Committee with the Chief Investigator as a member. For item costing less than Rs. 10,000/- the Chief Investigator can make purchases without going through the DPC.

(b) All purchases connected with the project shall be entered in a separate Stock Register to be maintained by the Chief Investigator. After the completion of the project, the equipments, books and such other assets including any vehicle provided by the Funding Agency shall be transferred to the Department or as stipulated by the Funding Agency. Transfer of non-consumables must be dealt with as per the audited Stock Register.

9. The University shall deduct 30% of the overhead charges of the project for facilities already extended. The balance amount shall be used by the Chief Investigator for specific requirements of the project. The unused part of the overhead charges will automatically go to the University after expiry of the Project.

10. The Chief Investigator shall be the controlling and sanctioning officer for all the financial, administrative and academic matters related to the project. In the absence of the Chief Investigator and / or any co-investigator, the faculty member nominated by the Chief Investigator shall look after the matters related to the project.

11.(a) When a project is sanctioned to more than one investigator drawn from different Departments and the funds are given to the CI, for the purpose of this Ordinance / Regulation the " Department " means the Department to which the Chief Investigator belongs.

(b) When a project is sanctioned to more than one investigator drawn from different Departments, for the purpose of this Ordinance / Regulation and the project grant is sanctioned to each investigator individually, the "Department" means the Department which each investigator belongs.

12. Utilisation certificates on the project expenditure shall be submitted by the Chief Investigator to the University to be forwarded within a fortnight to the Funding Agency.

13. All the administrative, academic and financial matters in relation to the projects shall be governed by the provisions of these regulations and / or the terms and conditions of the concerned Funding Agency.

14. Any difficulty arising in giving effect to or interpreting any of the provisions of this Regulation shall be referred to the Vice - Chancellor whose decision thereon shall be final.

(vi) Ordinance / Regulation on External Research Projects.

EC:105:99:5:1: (vi): The Council considered the draft Ordinance and Regulation on External Research Projects and RESOLVED to refer the matter to the Committee appointed by the Academic Council for the purpose of editing.

(vii) Section I of the Report on General Recommendations

EC:105:99:5:1: (vii): The Council considered Section I of the Recommendations of the High Power Committee appointed by the Academic Council and Executive Council and RESOLVED as follows:

1. The effective date of the implementation of the Career Advancement Scheme should be 1.1.96.
2. The process of calling of applications under the Career Advancement Scheme in the case of teachers who have completed 8 years should be started immediately and the dates for holding the Selection Committees should be decided beforehand.
3. The initial pay of Professors and other equivalent academic staff in the revised pay must be fixed at the minimum of the initial pay fixed for the College Principals (in the Professor's grade). Similarly the initial pay of Reader and equivalent other academic staff in the University must be fixed at the minimum of the initial pay of the College Principal (in Reader's grade).
4. Anomalies in the fixation of revised scales of pay should be referred to the UGC Cell set-up for the purpose.
5. A mechanism should be devised for ensuring that the teachers and other academic staff are not denied opportunities to complete the required orientation and refresher courses.
6. The existing system of providing teachers with hard furnished quarters on the Campus must continue.
7. The Project Officers in Adult and Continuing Education in the Centre for Supportive and Learning Systems may be re-designated as Lecturers if they have the requisite qualifications. The Chairman was authorised to take action in this regard after examining their qualifications in the light of UGC guidelines.
8. The benefit of re-employment should be extended till the age of 65 years to all teachers and Rule RU-2 to be suitably amended.
9. The pay of Visiting Professors / Professors on contract should be suitably revised in the light of New Pay Scales, and the arrears due to Visiting Professors who have since left the University are to be paid. Further, Visiting Professors who were on a consolidated pay may also be given the revised pay.